



# Privacy Policy

## **Dukia Management Services**

Effective Date: [February 27, 2026]

Last Updated: [February 27, 2026]

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## **1. Introduction**

Dukia Management Services (“Dukia,” “we,” “our,” or “us”) is committed to protecting the privacy, confidentiality, and security of personal information entrusted to us. This Privacy Policy describes how we collect, use, disclose, store, and safeguard personal information in connection with our advisory, capital introduction, consulting, and related services across the Caribbean, the United States, Canada, and other jurisdictions where we operate.

This Policy applies to personal information collected through:

- Our website
- Email communications
- Investor and client onboarding processes

- Business development activities
- Conferences and professional interactions
- Service engagements

By engaging with Dukia Management Services, you acknowledge the practices described in this Privacy Policy.

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## **2. Scope of Application**

This Policy applies to:

- Clients (fund managers, sponsors, investment platforms)
  - Prospective clients
  - Institutional and qualified investors
  - Business partners and service providers
  - Website visitors
  - Employees and contractors (where applicable)
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## **3. Information We Collect**

We collect personal information necessary to provide our services and operate our business effectively.

### **A. Identification Information**

- Full name
- Job title
- Company affiliation

- Business address
- Email address
- Telephone number

## **B. Professional & Financial Information**

- Investment preferences
- Accreditation or qualified investor status
- Assets under management (AUM) information
- Capital allocation interests
- Transaction history (where applicable)

## **C. Compliance & Due Diligence Information**

- Government-issued identification (where required)
- Know-Your-Client (KYC) documentation
- Anti-Money Laundering (AML) information
- Source of funds confirmations

## **D. Technical & Website Information**

- IP address
- Browser type
- Device information
- Cookies and usage analytics

We collect information directly from you, from authorized third parties, or through lawful public sources.

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## 4. How We Use Personal Information

We use personal information for the following purposes:

- Providing advisory and capital introduction services
- Facilitating investor introductions and fundraising mandates
- Conducting due diligence and compliance checks
- Meeting legal and regulatory obligations
- Managing client relationships
- Improving our services and communications
- Responding to inquiries
- Protecting against fraud and financial crime

We process personal information only where we have a lawful basis, including contractual necessity, legitimate business interests, consent, or legal obligations.

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## 5. Legal & Regulatory Compliance

Dukia Management Services operates across multiple jurisdictions and strives to comply with applicable privacy laws, including but not limited to:

- Canada's Personal Information Protection and Electronic Documents Act (PIPEDA)
- Applicable U.S. federal and state privacy regulations
- Caribbean data protection laws where applicable

Where cross-border transfers occur, we implement appropriate safeguards to protect personal information.

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## 6. Disclosure of Information

We do not sell personal information.

We may disclose personal information to:

- Institutional investors (with appropriate authorization)
- Regulatory authorities
- Auditors and legal advisors
- Service providers (e.g., compliance vendors, IT providers)
- Government agencies where required by law

All third-party service providers are required to maintain confidentiality and appropriate data protection safeguards.

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## 7. Data Retention

We retain personal information only as long as necessary to:

- Fulfill the purposes outlined in this Policy
- Comply with legal and regulatory requirements
- Resolve disputes
- Enforce agreements

Retention periods may vary depending on regulatory obligations, particularly in financial services contexts.

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## 8. Data Security

We implement administrative, technical, and physical safeguards designed to protect personal information against:

- Unauthorized access
- Loss or misuse
- Alteration
- Disclosure

These measures include:

- Encrypted communications where appropriate
- Secure data storage systems
- Restricted internal access controls
- Periodic review of cybersecurity protocols

While we take reasonable precautions, no data transmission or storage system can be guaranteed to be 100% secure.

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## 9. Cookies & Website Tracking

Our website may use cookies and similar technologies to:

- Improve user experience
- Analyze site performance
- Monitor traffic patterns

You may adjust your browser settings to refuse cookies; however, certain features may not function properly as a result.

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## 10. Your Rights

Depending on your jurisdiction, you may have rights including:

- Access to your personal information
- Correction of inaccurate information
- Withdrawal of consent (where applicable)
- Request deletion of information (subject to legal limits)
- Request details of data processing practices

Requests may be submitted using the contact information below.

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## 11. Cross-Border Data Transfers

Given our operations across the Caribbean, United States, and Canada, personal information may be transferred and processed in jurisdictions outside your country of residence. By providing information to us, you acknowledge such transfers, subject to applicable safeguards.

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## 12. Confidentiality & Professional Standards

As a capital advisory and fundraising firm, confidentiality is core to our operations. We maintain strict internal policies governing:

- Client mandate confidentiality
- Investor identity protection
- Transaction-related information security

Employees and consultants are bound by confidentiality obligations.

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## **13. Updates to This Privacy Policy**

We may update this Privacy Policy periodically to reflect:

- Regulatory changes
- Operational changes
- Best practice improvements

The updated version will be posted on our website with a revised “Last Updated” date.

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## **14. Contact Information**

If you have questions regarding this Privacy Policy or wish to exercise your rights, please contact:

Dukia Management Services  
Email: [dukiamanagementservices@gmail.com](mailto:dukiamanagementservices@gmail.com)  
Phone: [1-876-823-1266]

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## **15. Consent**

By engaging our services, visiting our website, or providing personal information to Dukia Management Services, you consent to the collection, use, and disclosure of your information as described in this Privacy Policy.